

**Annual General Meeting of  
Heidelberger Druckmaschinen Aktiengesellschaft  
on July 25, 2024**

**DATA PROTECTION NOTICE**

In this data protection notice, Heidelberger Druckmaschinen Aktiengesellschaft has provided information on the processing of your personal data in connection with the performance of the Annual General Meeting.

**1. Who is responsible for data processing?**

The processing controller is Heidelberger Druckmaschinen Aktiengesellschaft, Kurfürsten-Anlage 52-60, 69115 Heidelberg, Tel: +49 (0)6221 92 00, e-mail: [information@heidelberg.com](mailto:information@heidelberg.com).

**2. For what purposes and on what legal basis will your data be processed?**

In connection with holding the Annual General Meeting, Heidelberger Druckmaschinen Aktiengesellschaft will process your personal data (i.e. first name, last name, address, possibly e-mail address, custodian account number, access card number and data, number of shares, type of share possession) on the basis of the *Bundesdatenschutzgesetz* (**BDSG** – German Federal Data Protection Act), the EU General Data Protection Regulation (**GDPR**), the *Aktiengesetz* (**AktG** – German Stock Corporation Act) and all other relevant legal provisions. This will be done for only those purposes stipulated in the German Stock Corporation Act, including communication with shareholders and the organization of the Annual General Meeting. Heidelberger Druckmaschinen Aktiengesellschaft processes data provided by shareholders when registering for the Annual General Meeting or sent to Heidelberger Druckmaschinen Aktiengesellschaft by their custodian banks for this purpose. If rights are exercised at the Annual General Meeting by a proxy, the personal data of the shareholder and the personal data of the representative given in the power of attorney form (first and last names, place of residence or address) will be processed.

The processing of personal data described serves the purpose of enabling the data subjects to register for the Annual General Meeting, to satisfy requirements under stock corporation law and to exercise shareholders' rights (voting, granting, revoking and proving powers of attorney and instructions, objections to resolutions of the Annual General Meeting). It is not possible for you to participate in the Annual General Meeting or exercise your voting rights and other associated rights without providing the data in question.

This comprises the following processing operations:

Heidelberger Druckmaschinen Aktiengesellschaft processes the necessary data that shareholders provide or that has been provided by their custodian bank in conjunction with a shareholder's registration for the virtual Annual General Meeting (in particular, first and last names, address, e-mail address and other contact details of the shareholder, number of shares, share class, access card number and data, and means of ownership).

In accordance with section 129 AktG, at the Annual General Meeting there will be a list of attendees with the following personal data: access card number, first and last names as well as place of residence of the shareholder appearing or represented and their representative, number of shares, share class, number of voting rights and means of ownership.

If a shareholder requests that items be placed on the agenda, Heidelberger Druckmaschinen Aktiengesellschaft will publish these items, disclosing the name of the shareholder, provided that they satisfy the conditions outlined in the provisions of German Stock Corporation Act. Provided that they satisfy the conditions outlined in the provisions of German stock corporation law, Heidelberger Druckmaschinen Aktiengesellschaft will also publish counter-motions and nominations submitted by shareholders, in doing so disclosing the name of the shareholder, on Heidelberger Druckmaschinen Aktiengesellschaft's website (sections 122 (2), section 126 (1) and section 127 AktG).

The legal basis for the data processing described above is formed by the provisions of the German Stock Corporation Act (in particular section 67e and sections 118 et seq. AktG) in conjunction with Article 6 (1) (c) GDPR. In each case, the processing of the above personal data is necessary to satisfy Heidelberger Druckmaschinen Aktiengesellschaft's legal obligations.

We also process personal data to satisfy legal obligations, including those arising from regulatory, tax and commercial law. The legal basis for this is also formed by Article 6 (1) (c) GDPR in conjunction with the respective statutory regulations.

In some instances, we also process your data to safeguard the legitimate interests of the Company or a third party in accordance with Article 6 (1) (f) GDPR. For example, this is the case if, in the event of capital increases, some shareholders, on account of their nationality or domicile, have to be excluded from being informed of subscription offers in order not to violate the legal provisions of the countries concerned. In addition, we use your personal data to safeguard our legitimate interests in making contact or producing internal statistics (e.g. for showing shareholder development, the number of transactions or for overviews of the largest shareholders).

If there were to be the intention to use your personal data for another purposes, we would inform you in advance in line with the statutory provisions.

### **3. Use of cookies and other technologies**

The use of the shareholder portal website requires the use of cookies and other technologies. The purpose of these cookies and technologies is to personalize the user's website experience and to facilitate the use of the website. Cookies are small text files that the website sends to the cookie file of the Internet browser on the user's device where it can later be retrieved so that the user is recognized on returning to the website.

The shareholder portal website exclusively uses the technically necessary cookies to store server log files. These include:

- name of the file accessed;
- date and time of access;
- report on whether access was successful;
- description of the type of web browser used;
- referring URL (previously visited web page);
- host name of the accessing computer (IP address).

These data are stored only for the duration of use of the shareholder portal and are then deleted.

The legal basis for the associated processing of personal data is Heidelberger Druckmaschinen Aktiengesellschaft's legitimate interest in operating the shareholder portal and granting shareholders access to it in accordance with Article 6 (1) (f) GDPR. These data are stored only for the duration of use of the shareholder portal and are then deleted.

### **4. Which categories of recipients might your data be shared with?**

Below, we have provided information on the categories of recipients with which we will share your personal data:

In line with the legally prescribed right to view the list of participants in the Annual General Meeting, shareholders can request to view the data in the list of participants for up to two years after the Annual General Meeting. The list of participants will also be made available to participants present at the Annual General Meeting. In the context of requests for additions to the agenda, counter-motions or nominations that must be disclosed, your personal data will be published in line with the statutory provisions.

At times, Heidelberger Druckmaschinen Aktiengesellschaft works with various external service providers in the EU to hold the Annual General Meeting (e.g. an annual general meeting provider, bank, notary, lawyers). If necessary, these service providers have a data protection obligation to Heidelberger Druckmaschinen Aktiengesellschaft under processing contracts in accordance with Article 28 GDPR. Such external service providers receive only such personal data from Heidelberger Druckmaschinen Aktiengesellschaft that are necessary for the performance of the commissioned service, and process that data

exclusively as instructed by Heidelberger Druckmaschinen Aktiengesellschaft. Furthermore, Heidelberger Druckmaschinen Aktiengesellschaft can be required to send personal data to other recipients who process personal data on their own responsibility (Article 4 no. 7 GDPR), including in particular public bodies and the competent supervisory authority.

In line with the statutory provisions, we may be required to share your personal data with other recipients, such as official authorities and courts.

It is not intended to transfer personal data to a recipient in a third country (countries outside the European Union and the European Economic Area).

## **5. For how long will your personal data be stored?**

The personal data are processed, stored and erased in line with legal requirements. The following also applies to erasure: The storage period for personal data processed in connection with the Annual General Meeting is typically up to three years. Heidelberger Druckmaschinen Aktiengesellschaft erases personal data as soon as they are no longer required for the purposes stated in this section. As soon as we become aware that you have sold your shares, we will store your personal data for a maximum of a further twelve months only, subject to other statutory provisions. Above and beyond this, we store personal data only to the extent that there are statutory duties to retain records (e.g. in the German Stock Corporation Act, the German Commercial Code or the German Fiscal Code) that require Heidelberger Druckmaschinen Aktiengesellschaft to store personal data for longer, or if the data are relevant for court or out-of-court procedures, for instance in the event of actions for annulment. In such cases, Heidelberger Druckmaschinen Aktiengesellschaft will store the personal data concerned for as long as the corresponding duties to retain records apply or until a legally binding or otherwise final conclusion of said procedures, including any enforcement proceedings.

## **6. What rights do you have?**

With regard to the processing of personal data, under the statutory conditions, shareholders, shareholder representatives and any guests enjoy the following rights in particular at any time:

- the right to information on the data stored by Heidelberger Druckmaschinen Aktiengesellschaft about you and the right to receive a copy of these data (Article 15 GDPR);
- the right to the rectification of inaccurate data stored concerning you (Article 16 GDPR);
- the right to the erasure of your data, in particular where they are no longer necessary in relation to the purposes for which they are originally collected (Article 17 GDPR);

- the right to the restriction of processing (blocking), in particular if the processing of your data is unlawful or if you dispute the accuracy of your data (Article 18 GDPR).
- **If the processing of your personal data is based on the pursuit of our legitimate interests in accordance with Article 6 (1) (f) GDPR, you have the right to object to the processing of your personal data (Article 21 GDPR).**
- Right to lodge a complaint with the competent supervisory authority in accordance with Article 77 GDPR

These rights can be claimed in relation to Heidelberger Druckmaschinen Aktiengesellschaft free of charge at the e-mail address of the company's data protection officer ([datenschutzbeauftragter@heidelberg.com](mailto:datenschutzbeauftragter@heidelberg.com)) or using the following contact details:

Heidelberger Druckmaschinen Aktiengesellschaft  
Data Protection Officer  
Gutenbergring  
69168 Wiesloch  
Germany